

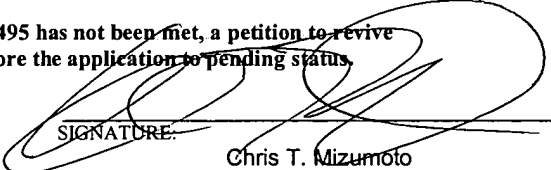
FORM PTO 1390 (REV 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 09857/0201259-US0
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.51) <b>10/511707</b>
INTERNATIONAL APPLICATION NO. PCT/JP03/04949	INTERNATIONAL FILING DATE 18 April 2003	PRIORITY DATE CLAIMED 18 April 2002	
TITLE OF INVENTION HYALURONIC ACID MODIFICATION PRODUCT			
APPLICANT(S) FOR DO/EO/US Tsuyoshi Shimoboji			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing 35 U.S.C. 371.
- ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☒ The US has been elected (Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - ☐ is attached hereto (required only if not communicated by the International Bureau).
  - ☒ has been communicated by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - ☒ is attached hereto.
  - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - ☐ are attached hereto (required only if not communicated by the International Bureau).
  - ☐ have been communicated by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

- ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A preliminary amendment.
- ☒ An Application Data Sheet under 37 CFR 1.76.
- ☐ A substitute specification.
- ☐ A power of attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
- ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information: Certificate of Express Mailing Under 37 CFR 1.10; Check in the amount of \$1,044.00; Affirmation of priority claim with PCT/IB/304; Copy of PCT Request; Copy of WO 03/087019 A1

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) <b>10/511707</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JP03/04949</b>		ATTORNEY'S DOCKET NUMBER <b>09857/0201259-USO</b>		
21. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b> PTO USE ONLY		
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1080.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$920.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$770.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$730.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).						
				\$	950.00	
				\$		
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>			
Total claims	23-20 =	3	x 18.00	\$	54.00	
Independent claims	1-3 =		x	\$	0.00	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)				+	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	1,004.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$		
<b>SUBTOTAL =</b>				\$	1,004.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$		
<b>TOTAL NATIONAL FEE =</b>				\$	1,004.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$ 40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$	1,044.00	
				<b>Amount to be refunded:</b>	\$	
				<b>charged:</b>	\$	
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,044.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-0100</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.						
<b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b>						
SEND ALL CORRESPONDENCE TO:						
Joseph R. Robinson						
DARBY & DARBY P.C.						
P.O. Box 5257						
New York, New York 10150-5257						
(212) 527-7783						
CUSTOMER NUMBER: 07278						
				SIGNATURE: 		
				NAME	Chris T. Mizumoto	
				42,899		
				REGISTRATION NUMBER		

10/511707

DT01 Rec'd PCT/PTO 15 OCT 2004

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 09857/0201259-US0

**Certificate of Express Mailing Under 37 CFR 1.10**

I hereby certify that this correspondence is being deposited with the United States Postal Service as  
Express Mail, Airbill No. \_\_\_\_\_ in an envelope addressed to:

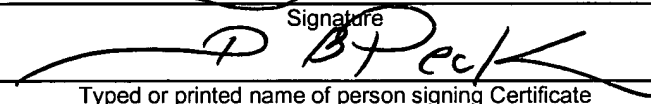
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Commissioner for Patents  
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Alexandria, VA 22313-1450

on October 15, 2004  
Date



Signature



Typed or printed name of person signing Certificate

Registration Number, if applicable

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office (2 pp)  
Application Data Sheet (2 pp); Translation of Int'l Appl. PCT/JP03/04949  
(27 pp); Claims (3 pp); Abstract (1 pg); Drawings (Figs. 1-14; 14 sheets)  
First Preliminary Amendment (7 pp); Executed DEC/POA (3 pp)  
PTO-1595 (1 pg) w/Assignment (2 pp)  
Affirmation of priority claim (1 pg) w/PCT/IB/304; Copy of  
WO 03/087019 A1 (1 pg); Copy of PCT Request (4 pp)  
IDS (2 pp); PTO/SB/08A (2 pp); ISR with 15 documents  
Check No. 6430 in the amount of \$1,044.00  
Return Receipt Postcard